| Audit Plan Areas | Level of Assurance | | | | |
|---|---|---|---|---|---|
| | **High** | **Satisfactory** | **Partial** | **Minimal** | **No Opinion** |
| **Managing the Business** | | | • **Information Governance GDPR (Feb 2019)** | | • **Corporate Establishment (Mar 2019)**<br><br>• **Recruitment contract and system implementation (Feb 2019)**<br><br>• **Southend 2050 (ongoing)**<br><br>• **Transforming Together (ongoing)** |

| Audit Plan Areas | Level of Assurance | | | | |
|---|---|---|---|---|---|
| | High | Satisfactory | Partial | Minimal | No Opinion |
| Managing Service Delivery Risks | | • Data Quality of Children's Services Key Performance Indicators (Jan 2019)<br><br>• Social Care, Hospital Discharge Process (Nov 2018)<br><br>• Recycling, Waste and Street Cleaning Services Contract Management (Jul 2019) | • Building Control (Feb 2019)<br><br>• Direct Payment Support Service Contract Management (May 2019) | • South Essex Active Travel Programme Governance Arrangements (Oct 2018) | • Management Response to Quality Assurance Audits 17-12 (Jul 2018)<br><br>• Better Queensway Benefits Management, Advice and Support 18-33 (Dec 2018)<br><br>• Airport Business Park (Nov 2018)<br><br>• Safety of gas boilers (Mar 2019)<br><br>• Early help maturity model (Dec 2018)<br><br>• Social Care Payments (Mar 2019) |

| Audit Plan Areas | Level of Assurance | | | | |
|---|---|---|---|---|---|
| | **High** | **Satisfactory** | **Partial** | **Minimal** | **No Opinion** |
| **Key Financial Systems** | | • **Payroll, self-serve module (Feb 2019)** | | | • **Income management system (Mar 2019)**<br>• **P2P new supplier process (Feb 2019)** |

| | Action Implementation Level | | | | |
|---|---|---|---|---|---|
| | **High** | **Satisfactory** | **Partial** | **Minimal** | **No Opinion** |
| **Audits Revisited** | | • **Procurement Cards (P-Cards) (Sep 2018)**<br>• **IT Enterprise Change Management (Jun 2018)** | • **Unit 4 Business World System Access Controls (May 2019)**<br>• **Social Care Payments to Individuals and Providers - Children (Jun 2019)** | | |

| Grant Claims | Level of Assurance | |
|---|---|---|
| | **Unqualified** | **With Qualification** |
| | • **Disabled Facilities Capital Grant Determination**<br><br>• **Highways Maintenance Challenge Fund – Flooding**<br><br>• **Highways Maintenance Challenge Fund – Street Lights**<br><br>• **Local Transport Capital Block Funding**<br><br>• **Troubled Families Programme, Payments by Results Scheme Grant**<br>**(May, Jul, Sept, Oct 2018 and Mar 2019)** | |

| Schools Audit Programme | Action Implementation Level | | | |
|---|---|---|---|---|
| | **High** | **Satisfactory** | **Partial** | **Minimal** |